



418 Rec'd PCT/PTO 17 JUN 1999 \$ #3

EXPRESS MAIL NO.
EL375785036US

108A 2851 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
RYOZO SETOGUCHI)
International Application No. PCT/JP96/03599)
Serial No. 09/319,670)
Filed: June 7, 1999)
For: PARALLEL PROCESSING DEVICE FOR)
GENERATING SHAPE AND/OR)
DISPLAYING IMAGE)

ATTN: BOX PCT

LETTER

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In regards to the above-identified application which was entered into the U.S. National Stage without the Declaration of the inventor, a notification pursuant to 37 CFR 1.494(c) has not yet been issued or sent to the undersigned. However, pursuant to the instructions given to the undersigned from the PCT Help Desk, enclosed herewith please find an executed Declaration & Power of Attorney form, a Verified Statement Claiming Small Entity Status and a check in the amount of \$65.00 as the late filing fee so that the requirements for this application can be met.

REQUEST FOR REFUND:

Applicant further respectfully requests that since it has been less than two (2) months since this application was filed, a refund of \$485.00, which is ½ of the filing fee of \$970.00 paid when the application was filed on June 7, 1999, be credited to the account of the

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65.00 OP

undersigned, namely Koda & Androlia Deposit Account No. 11-1445. A copy of the PCT Application Transmittal form of June 7, 1999 is enclosed herewith indicating that \$970.00 has been paid as the filing fee along with the returned postcard of same date.

If any further fees are required by the acceptance of the enclosed forms, please charge those fees to KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,

KODA & ANDROLIA

By: 
William L. Androlia
Reg. No. 27,177

10100 Santa Monica Blvd.
Suite 2340
Los Angeles, CA 90067
(310)277-1391
(310) 277-4118-fax

[notice]

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

108A 2851 PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

COPY
PRIORITY DATE CLAIMEDINTERNATIONAL APPLICATION NO.
PCT/JP96/03599INTERNATIONAL FILING DATE
December 6, 1996TITLE OF INVENTION
PARALLEL PROCESSING DEVICE FOR GENERATING SHAPE AND/OR DISPLAYING IMAGEAPPLICANT(S) FOR DO/EO/US
RYOZO SETOGUCHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. Copy of Form PCT/IB/308 (Notice re Application to the Designated Offices)
 - b. Copy of Form PCT/IPEA/401 (Request for International Preliminary Examination)
 - c. Copy of Form PCT/IPEA/408 (Written Opinion re International Preliminary Examination)
 - d. Copy of Form PCT/IPEA/409 (International Preliminary Examination Report)
 - e. Copy of PCT Article 34(2)(b) Amendment and English translation
 - f. Copy of PCT Article 34(2)(d) Response and English translation
 - g. Four (4) sheets of drawings
 - h. Letter to the Examiner
 - i. Copy of Form PCT/ISA/210 (International Search Report)

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS** PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	3 - 20 =	0	X \$18.00
Independent claims	3 - 3 =	0	X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00

\$ n/a

\$ n/a

\$ n/a

TOTAL OF ABOVE CALCULATIONS =

\$ 970.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ n/a

SUBTOTAL =

\$ 970.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ n/a

TOTAL NATIONAL FEE =

\$ 970.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ n/a

TOTAL FEES ENCLOSED =

\$ 970.00

Amount to be:
refunded \$
charged \$

- a. ☒ A check in the amount of \$ 970.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KODA & ANDROLIA
10100 Santa Monica Boulevard
Suite 2340
Los Angeles, CA 90067
(310) 277-1391 -Tel
(310) 277-4118 -Fax

SIGNATURE:

H. Henry Koda

NAME

27,729

REGISTRATION NUMBER

09/319670

108A 2851 PCT KAWAKUBO

Inventor: RYOZO SETOGUCHI

RECEIVED

For: PARALLEL PROCESSING DEVICE FOR GENERATING
SHAPE AND/OR DISPLAYING IMAGE JUN 16 1999

PCT APPLICATION TRANSMITTAL FORM, MAILING CERTIFICATE, ENGLISH TRANSLATION OF INT'L APPLN, TRANSLATION OF ANNEXES, PRELIMINARY AMENDMENT, FORMS: PCT/IB/308, PCT/IPEA/401, PCT/IPEA/408, PCT/IPEA/409, PCT AMENDMENT AND ENGLISH TRANSLATION, PCT RESPONSE AND ENGLISH TRANSLATION, FOUR (4) SHEETS OF DRAWINGS, LETTER TO THE EXAMINER, FORM PCT/ISA 210 AND CHECK FOR \$970.00.

418 Rec'd PCT/PTO 07 JUN 1999

Sent to PO: June 7, 1999



LAW OFFICES OF
KODA & ANDROLIA
10100 SANTA MONICA BLVD., SUITE 2340
LOS ANGELES, CALIFORNIA 90067



I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EL375785036US addressed to:

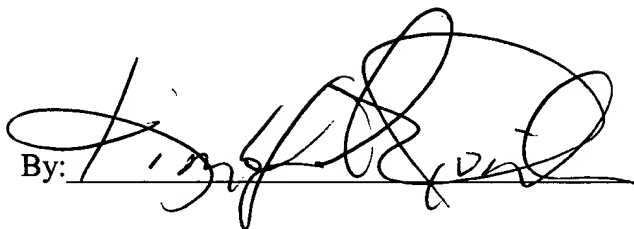
Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

on June 17, 1999 by Kimberly A. Quinlan

Dated:

June 17, 1999

By:



108A 2851 PCT

KAWAKUBO

Inventor:

RYOZO SETOGUCHI

Int'l. Appln. No.

PCT/JP96/03599

Serial No.

09/319,670

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PARALLEL PROCESSING DEVICE FOR GENERATING SHAPE
AND/OR DISPLAYING IMAGE